



*CJC*

DOCKET NO.: B1029.70001US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor M. Ilyashenko  
Patent No.: 6,776,932 B1  
Issued Date: August 17, 2004  
For: POLYMERIC OPTICAL ARTICLES  
Examiner: Mathieu D. Vargot  
Art Unit: 1732  
Confirmation No.: 1310

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 15 day of September, 2004.

*[Signature]*

Certificate of Correction Branch

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- Request for Certificate of Correction Under 37 C.F.R. §1.323
- Certificate of Correction
- Return Receipt Postcard

Certificate  
SEP 21 2004  
of Correction

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

A check in the amount of \$100.00 is enclosed to cover the fee set forth in 37 C.F.R. §1.20(a). If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
Victor M. Ilyashenko, Applicant

By:

*[Signature]*  
Michael J. Pomianek, Ph.D., Reg. No.: 46,190  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

09/17/2004 RFEKADU2 00000099 6776932

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SEP 22 2004



DOCKET NO.: B1029.70001US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor M. Ilyashenko  
Patent No.: 6,776,932 B1  
Issued Date: August 17, 2004  
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Sir:

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Respectfully submitted,  
*Victor M. Ilyashenko, Applicant*

By:

Michael J. Pomianek, Ph.D., Reg. No.: 46,190  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

xNDDx

SEP 22 2004

**UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,776,932 B1  
DATED : August 17, 2004  
INVENTOR(S) : Victor M. Ilyashenko

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

In Column 1, at line 14, "claims priority to" should read -- is a continuation-in-part of --

MAILING ADDRESS OF SENDER

PATENT NO. 6,776,932 B1

Michael J. Pomianek, Ph.D., Reg. No. 46,190  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210

FORM PTO 1050 (Rev. 2-93) 825659.1

**SEP 22 2004**



Attorney's Docket No.: B1029.70001US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 6,776,932 B1  
Issue Date : August 17, 2004  
Applicants : Victor M. Ilyashenko  
Filing Date : August 29, 2000  
For : POLYMERIC OPTICAL ARTICLES  
Examiner : Mathieu D. Vargot  
Art Unit : 1732  
Conf. No. : 1310

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached addressed to the Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 15, 2004.

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**Certificate of Correction Branch**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 C.F.R. §1.323**

Dear Sir:

Applicant submits herewith a Request for Certificate of Correction under 37 C.F.R. §1.323 to correct an incorrect reference to a prior co-pending application in the priority claim language in column 1, lines 11-19 of the above-identified issued patent, which corrections do not constitute new matter or require re-examination.

Specifically, Applicants inadvertently did not properly indicate the relationship between International Application No. PCT/US98/12295, of which the instant patent was granted on a national stage filing thereof, and U.S. Patent Application Serial No. 08/873,952 (now U.S. Patent No. 6,086,999) to which the International Application claimed the benefit of priority. The priority claim should have recited that International Application No. PCT/US98/12295 is a continuation-in-part of U.S. Patent Application Serial No. 08/873,952, now U.S. Patent No. 6,086,999. The attached certificate of correction effects this correction. The mistake was made in good faith.

Applicants note that the instant application was granted on an application filed prior to November 29, 2000, and that, therefore, the version of 37 C.F.R. §1.78 in effect as of November 29, 2000 applies. Applicants further note that all of the requirements set forth in the version of 37

SEP 22 2004

Serial Number: 09/445,733  
Docket No.: B1029.70001US00  
Page 2 of 2

Conf. No.: 1310

C.F.R. §1.78(a)(1) in effect as of November 29, 2000 have been met in the application that matured into the instant patent to be corrected. In addition, it is clear from the record of the patent and patent application that priority is appropriate. As evidence, Applicants include herewith a copy of:

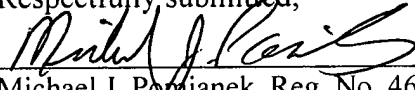
- (1) copies of the Transmittal Letter and PCT Request form submitted to the United States Receiving Office with International Application No. PCT/US98/12295 upon filing, which clearly indicates that the International Application designated the United States of America (page 2 of Request) and claimed priority to U.S. Patent Application Serial No. 08/873,952 (page 3 of Request); and
- (2) a copy of the Notice of Status of Requirements Under 35 U.S.C. 371 form mailed by the U.S. Patent and Trademark Office upon receipt of the International Application indicating the International Application Number and acknowledging Applicant's priority date claimed (i.e. the 12 June 1997 filing date of U.S. Patent Application Serial No. 08/873,952).

The fee as set forth in 37 C.F.R. §1.20(a) of \$100.00 accompanies this request.

It is requested that the undersigned be contacted by telephone call at (617) 720-3500 with any questions relating to this Request.

Please charge any fee or any fee deficiency occasioned by this Request to Deposit Account No. 23/2825.

Respectfully submitted,



Michael J. Pomianek, Reg. No. 46,190  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Tel. (617) 646-8000

Date: September 15, 2004  
XNDD 825063.1

SEP 22 2004

**TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE**

Date	12 June 1998 (12.06.98)
International Application No.	
Attorney Docket No.	B1029/7001WO

**I. Certification under 37 CFR 1.10 (if applicable)**

EM529446915US
Express Mail Mailing Number

12 June 1998 (12.06.98)
Date of Deposit

**II. ☒ New International Application**

TITLE	POLYMERIC OPTICAL ARTICLES	Earliest priority date (Day/Month/Year)
		12 June 1997 (12.06.97)

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.  
 B. ☐ There is no prior U.S. application relating to this invention.  
 C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

Application No.	08/873,952	filed on	12 June 1997 (12.06.97)
Application No.		filed on	

- D. ☒ The present international application ☒ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.  
 E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found on pages \_\_\_\_\_ and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

**III. ☐ A Response to an Invitation from the RO/US. The following document(s) is/are enclosed:**

- A. ☐ A Request for An Extension of Time to File a Response  
 B. ☐ A Power of Attorney (General or Regular)  
 C. ☐ Replacement pages:

pages		of the request	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

**D. ☐ Submission of Priority Documents**

Priority document		Priority document	
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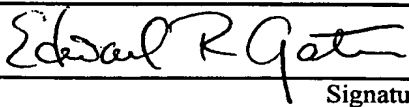
**E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex**

**IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette**

**V. ☒ Other (please specify):**

PCT Req. Form RO/101 (3 shts); Fee Calculation Sheet; Application for Patent (23 shts Desc, 10 shts clms, 1 sht Abst, 2 shts drwgs)

The person  
signing this  
form is the:

<input type="checkbox"/> Applicant	GATES, Edward R.
<input checked="" type="checkbox"/> Attorney/Agent Reg. No. 31,616	Typed Name of Signer
<input type="checkbox"/> Common Representative	
	Signature

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only	
International Application No.	
International Filing Date	
Name of receiving Office and "PCT International Application"	
Applicant's or agent's file reference: <b>B1029/7001WO</b> (if desired) (12 characters maximum)	

Box No. I <b>TITLE OF INVENTION</b> <b>POLYMERIC OPTICAL ARTICLES</b>	
Box No. II <b>APPLICANT</b>	
Name and address: <i>(Family name, followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)</i>  <b>BOSTON OPTICAL FIBER, INC.</b> <b>155 Flanders Road</b> <b>Westborough, Massachusetts 01581</b> <b>United States of America</b>	<input type="checkbox"/> This person is also inventor.  Telephone No.  Facsimile No.  Teleprinter No.
State (i.e., country) of nationality: <b>US</b>	State (i.e., country) of residence: <b>US</b>
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Box No. III <b>FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
Name and address: <i>(Family name, followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (i.e. country) of residence if no State of residence is indicated below.)</i>  <b>ILYASHENKO, Victor M.</b> <b>3122 Arbor Drive</b> <b>Shrewsbury, Massachusetts 01545</b> <b>United States of America</b>	This person is: <input type="checkbox"/> applicant only <input checked="" type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (if this check-box is marked, do not fill in below.)
State (i.e., country) of nationality: <b>US</b>	State (i.e., country) of residence: <b>RU</b>
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
Box No. IV <b>AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: <i>(Family name, followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)</i>  <b>GATES, Edward R.</b> <b>Wolf, Greenfield &amp; Sacks, P.C.</b> <b>600 Atlantic Avenue</b> <b>Boston, Massachusetts 02210</b> <b>United States of America</b>	Telephone No. <b>617 720-3500</b>  Facsimile No. <b>617 720-2441</b>  Teleprinter No.
<input type="checkbox"/> Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Box No. V

## DESIGNATION OF STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

## Regional Patent

- ☒ AP ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ EA Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ EP European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ OA OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment is desired, specify on dotted line)

## National Patent (if other kind of protection or treatment desired, specify on dotted line):

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> AL Albania                               | <input checked="" type="checkbox"/> LT Lithuania                                 |
| <input checked="" type="checkbox"/> AM Armenia                               | <input checked="" type="checkbox"/> LU Luxembourg                                |
| <input checked="" type="checkbox"/> AT Austria                               | <input checked="" type="checkbox"/> LV Latvia                                    |
| <input checked="" type="checkbox"/> AU Australia                             | <input checked="" type="checkbox"/> MD Republic of Moldova                       |
| <input checked="" type="checkbox"/> AZ Azerbaijan                            | <input checked="" type="checkbox"/> MG Madagascar                                |
| <input checked="" type="checkbox"/> BA Bosnia and Herzegovina                | <input checked="" type="checkbox"/> MK The former Yugoslav Republic of Macedonia |
| <input checked="" type="checkbox"/> BB Barbados                              | <input checked="" type="checkbox"/> MN Mongolia                                  |
| <input checked="" type="checkbox"/> BG Bulgaria                              | <input checked="" type="checkbox"/> MW Malawi                                    |
| <input checked="" type="checkbox"/> BR Brazil                                | <input checked="" type="checkbox"/> MX Mexico                                    |
| <input checked="" type="checkbox"/> BY Belarus                               | <input checked="" type="checkbox"/> NO Norway                                    |
| <input checked="" type="checkbox"/> CA Canada                                | <input checked="" type="checkbox"/> NZ New Zealand                               |
| <input checked="" type="checkbox"/> CH and LI Switzerland and Liechtenstein  | <input checked="" type="checkbox"/> PL Poland                                    |
| <input checked="" type="checkbox"/> CN China                                 | <input checked="" type="checkbox"/> PT Portugal                                  |
| <input checked="" type="checkbox"/> CU Cuba                                  | <input checked="" type="checkbox"/> RO Romania                                   |
| <input checked="" type="checkbox"/> CZ Czech Republic                        | <input checked="" type="checkbox"/> RU Russian Federation                        |
| <input checked="" type="checkbox"/> DE Germany                               | <input checked="" type="checkbox"/> SD Sudan                                     |
| <input checked="" type="checkbox"/> DK Denmark                               | <input checked="" type="checkbox"/> SE Sweden                                    |
| <input checked="" type="checkbox"/> EE Estonia                               | <input checked="" type="checkbox"/> SG Singapore                                 |
| <input checked="" type="checkbox"/> ES Spain                                 | <input checked="" type="checkbox"/> SI Slovenia                                  |
| <input checked="" type="checkbox"/> FI Finland                               | <input checked="" type="checkbox"/> SK Slovakia                                  |
| <input checked="" type="checkbox"/> GB United Kingdom                        | <input checked="" type="checkbox"/> SL Sierra Leone                              |
| <input checked="" type="checkbox"/> GE Georgia                               | <input checked="" type="checkbox"/> TJ Tajikistan                                |
| <input checked="" type="checkbox"/> GH Ghana                                 | <input checked="" type="checkbox"/> TM Turkmenistan                              |
| <input checked="" type="checkbox"/> GM Gambia                                | <input checked="" type="checkbox"/> TR Turkey                                    |
| <input checked="" type="checkbox"/> GW Guinea-Bissau                         | <input checked="" type="checkbox"/> TT Trinidad and Tobago                       |
| <input checked="" type="checkbox"/> HU Hungary                               | <input checked="" type="checkbox"/> UA Ukraine                                   |
| <input checked="" type="checkbox"/> ID Indonesia                             | <input checked="" type="checkbox"/> UG Uganda                                    |
| <input checked="" type="checkbox"/> IL Israel                                | <input checked="" type="checkbox"/> US United States of America                  |
| <input checked="" type="checkbox"/> IS Iceland                               | <input checked="" type="checkbox"/> UZ Uzbekistan                                |
| <input checked="" type="checkbox"/> JP Japan                                 | <input checked="" type="checkbox"/> VN Viet Nam                                  |
| <input checked="" type="checkbox"/> KE Kenya                                 | <input checked="" type="checkbox"/> YU Yugoslavia                                |
| <input checked="" type="checkbox"/> KG Kyrgyzstan                            | <input checked="" type="checkbox"/> ZW Zimbabwe                                  |
| <input checked="" type="checkbox"/> KP Democratic People's Republic of Korea |  |
| <input checked="" type="checkbox"/> KR Republic of Korea                     |  |
| <input checked="" type="checkbox"/> KZ Kazakhstan                            |  |
| <input checked="" type="checkbox"/> LC Saint Lucia                           |  |
| <input checked="" type="checkbox"/> LK Sri Lanka                             |  |
| <input checked="" type="checkbox"/> LR Liberia                               |  |
| <input checked="" type="checkbox"/> LS Lesotho                               |  |

Check-boxes reserved for designating States (for the purposes of a national patent) which have become party to the PCT after issuance of this sheet:

☒ All States party to PCT as of International Filing Date

☐  
☐

In addition to the designations made above, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except the designation(s) of \_\_\_\_\_

The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)



Box No. VI

**PRIORITY CLAIM**Further priority claims are indicated in the Supplemental Box ☐

The priority of the following earlier application(s) is hereby claimed:

Country (in which, or for which, the application was filed)	Filing Date (day/month/year)	Application No.	Office of filing (only for regional or international application)
item (1) US	12 June 1997 (12.06.97)	08/873,952	
item (2)			
item (3)			

Mark the following check-box if the certified copy of the earlier application is to be issued by the Office which for the purposes of the present international application is the receiving Office (a fee may be required):

☒ The receiving Office is hereby requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s): (1)

Box No. VII

**INTERNATIONAL SEARCHING AUTHORITY**

Choice of International Searching Authority (ISA) (If two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used): **ISA / EP**

Earlier search Fill in where a search (international, international-type or other) by the International Searching Authority has already been carried out or requested and the Authority is now requested to base the international search, to the extent possible, on the results of that earlier search. Identify such search or request either by reference to the relevant application (or the translation thereof) or by reference to the search request.

Country (or regional Office):

Date (day/month/year):

Number:

Box No. VIII

**CHECK LIST**

This international application contains the following number of sheets:

1. request : 03 sheets  
2. description: 23 sheets  
3. claims : 10 sheets  
4. abstract : 01 sheets  
5. drawings: 02 sheets  
TOTAL 39

This international application is accompanied by the item(s) marked below:

- |   |  |
|---|--|
| 1. <input type="checkbox"/> separate signed power of attorney                         | 5. <input checked="" type="checkbox"/> fee calculation sheet                           |
| 2. <input type="checkbox"/> copy of general power of attorney                         | 6. <input type="checkbox"/> separate indications concerning deposited microorganisms   |
| 3. <input type="checkbox"/> statement explaining lack of signature                    | 7. <input type="checkbox"/> nucleotide and/or amino acid sequence listing (diskette)   |
| 4. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): | 8. <input checked="" type="checkbox"/> other (specify): postcard, transmittal letter k |

Figure No. 1 of the drawings (if any) should accompany the abstract when it is published.

Box No. IX

**SIGNATURE OF APPLICANT**

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

  
GATES, Edward R.

For receiving Office use only

1. Date of actual receipt of the purported international application:	2. Drawings  <input type="checkbox"/> received  <input type="checkbox"/> not received
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2)	
5. International Searching Authority specified by the applicant: ISA /	
6. <input type="checkbox"/> delayed Transmittal of search copy until search fee is paid	

For International Bureau use only

Date of receipt of the record copy  
by the International Bureau:

TO

EDWARD R. GATES  
WOLF, GREENFIELD & SACKS, P.C.  
600 ATLANTIC AVENUE  
BOSTON MA 02210

DOCKETED

JUL 15 1998

Electronic Code Book  
Docket Entry 2-12-98  
Docket Cross Off  
Order Copies  
Annexes 12-12-98  
Clear

UNITED STATES DESIGNATED/ELECTED  
OFFICE (DO/EO/US)

NOTIFICATION OF STATUS OF  
REQUIREMENTS UNDER 35 U.S.C.371

DATE OF MAILING

JUL 14 1998

FILE REFERENCE

B1029/7001WO

## IDENTIFICATION OF INTERNATIONAL APPLICATION

International Application Number	International Filing Date	Priority Date Claimed
PCT/US98/12295	12 JUN 98	12 JUN 97

Applicant for DO/EO/US

BOSTON OPTICAL FIBER, INC.

## NOTIFICATION

The applicant is hereby advised that the U.S. Patent and Trademark Office in its capacity as ☐ Designated Office ☐ Elected Office has received the following items as of the date of mailing indicated above.

1. ☐ U.S. National fee [35 U.S.C.371 (c) (1)]
2. ☐ Oath of declaration [35 U.S.C.371 (c) (4)]
3. ☒ Copy of International application as filed [35 U.S.C.371 (c) (2)]
4. ☐ Translation of Application [35 U.S.C.371 (c) (2)]
5. ☐ Amendments under PCT Article 19 [35 U.S.C.371 (c) (3)]
6. ☐ Translation of PCT Article 19 Amendments [35 U.S.C.371 (c) (3)]
7. ☐ Search Report or Declaration under PCT Article 17(2) [35 U.S.C.371 (a)]
8. ☐ International Preliminary Examination Report and its Annexes, if any, under PCT Article 36(3) (a) [35 U.S.C.371 (a)]
9. ☐ Translation of Annexes to the International Preliminary Examination Report under PCT Article 36(3) (b) [35 U.S.C.371 (c) (5)]
10. ☐ Other items received:
  - ☐ Assignment Document ☐ Prior Art Statement ☐ Preliminary Amendment
- A. ☐ Requirements for U.S. National processing have been met. Processing will commence
  - ☐ at the expiration of the applicable time limit under either
    - ☐ PCT Article 22 [35 U.S.C.371 (b)] or
    - ☐ PCT Article 39 [35 U.S.C.371 (b)]
  - ☐ on the date indicated below under the provisions of 35 U.S.C.371 (f)

U.S. NATIONAL SERIAL#

DATE UNDER 35 U.S.C.102(e)

DATE OF COMMENCEMENT OF  
NATIONAL PROCESSING

All correspondence submitted after the date of commencement of U.S. National processing indicated above should refer to the U.S. National Serial Number and the appropriate U.S. National processing organization or Officer.

- B. ☐ As the above identified application has been accepted for U.S. National processing under the provisions of 35 U.S.C.371 (f) before expiration of the applicable time limit under ☐ PCT Article 22 ☐ PCT Article 39, applicant is reminded that
- ☐ Amendments under PCT Article 19 and/or
  - ☐ the International Preliminary Examination Report and its Annexes, if any, under PCT Article 36(3) (a), and (b)
- and any translation thereof, if applicable, must be submitted to the Patent and Trademark Office as soon as they are available.

INTERNATIONAL APPLICATION NUMBER

PCT/U598/12295

INTERNATIONAL FILING DATE

12 JUN 98

PRIORITY DATE CLAIMED

12 JUN 97

C. ☒ In order that U.S. National processing may begin, certain items must be received by the DO/EO/US by the expiration of the applicable time limit under

☐ PCT Article 22 or

☐ PCT Article 39.

Specifically:

- ☒ 1. U.S. National Fee
- ☒ 2. Oath or Declaration
- ☐ 3. Copy of Application
- ☐ 4. Translation of Application
- ☒ 5. Amendments under PCT Article 19, if any
- ☐ 6. Translation of PCT Article 19 Amendments, if applicable
- ☐ 7. Search Report or PCT Article 17(2)(a) declaration
- ☐ 8. International Preliminary Examination Report and its Annexes, if any, under PCT Article 36(3)(a), if applicable
- ☐ 9. Translation of Annexes to the International Preliminary Examination Report under PCT Article 36(3)(b), if applicable

THE ABOVE CHECKED ITEMS MUST BE TIMELY RECEIVED TO AVOID ABANDONMENT OF THE APPLICATION. [35 U.S.C. 371(d)]

D. Further information for the applicant:

THE ABOVE IDENTIFIED ITEMS ARE NEEDED FOR ENTRY  
INTO THE NATIONAL STAGE

A REMINDER ONLY

UNITED STATES DESIGNATED/ELECTED OFFICE

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*Hal Saunders*